

# **$M$ -INJECTIVE MODULES AND PRIME $M$ -IDEALS**

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For a left  $R$ -module  $M$ , we identify certain submodules of  $M$  that play a role analogous to that of prime ideals in the ring  $R$ . Using this definition, we investigate conditions on the module  $M$  which imply that there is a one-to-one correspondence between isomorphism classes of indecomposable  $M$ -injective modules and “prime  $M$ -ideals”.

We assume throughout the paper that  $R$  is an associative ring with identity, and that  ${}_R M$  is a fixed left  $R$ -module. The module  ${}_R X$  is called  *$M$ -injective* if each  $R$ -homomorphism  $f : K \rightarrow X$  defined on a submodule  $K$  of  $M$  can be extended to an  $R$ -homomorphism  $\hat{f} : M \rightarrow X$  with  $f = \hat{f}i$ , where  $i : K \rightarrow M$  is the natural inclusion mapping. We note that Baer’s criterion for injectivity shows that any  $R$ -injective module is injective in the category  $R\text{-Mod}$  of all left  $R$ -modules.

It is well-known that if  $R$  is a commutative Noetherian ring, then there is a one-to-one correspondence between isomorphism classes of indecomposable injective  $R$ -modules and prime ideals of  $R$ . Gabriel showed in [1] that this one-to-one correspondence remains valid for any left Noetherian ring that satisfies what he called “condition H”. In current terminology, a module  ${}_R X$  is said to be *finitely annihilated* if there is a finite subset  $x_1, \dots, x_n$  of  $X$  with  $\text{Ann}_R(X) = \text{Ann}_R(x_1, \dots, x_n)$ .

Then by definition the ring  $R$  satisfies condition H if and only if every cyclic left  $R$ -module is finitely annihilated. It follows immediately that  $R$  satisfies condition H if and only if every finitely generated left  $R$ -module is finitely annihilated. We note the stronger result due to Krause [2] that if  $R$  is left Noetherian, then there is a one-to-one correspondence between isomorphism classes of indecomposable injective left  $R$ -modules and prime ideals of  $R$  if and only if  $R$  is left fully bounded (see Theorem 8.12 of [3] for a proof).

In Theorem 6.7 we show that Gabriel's correspondence can be extended to  $M$ -injective modules, provided that  $\text{Hom}_R(M, X) \neq 0$  for every submodule  $X$  of a homomorphic image of a direct sum of copies of  $M$ . In preparation for this result, we introduce the notion of an  $M$ -ideal in Section 1, and the notion of an  $M$ -prime module in Section 2. In Section 3 we define a prime  $M$ -ideal to be the annihilator in  $M$  of an  $M$ -prime module, and show that if  $M$  is Noetherian, then associated primes exist. In Sections 4 and 5 we show that many of the familiar results for prime ideals can be extended to prime  $M$ -ideals. In particular, we show that certain simplifications occur if  $M$  satisfies a weak form of projectivity.

Just as Baer's criterion shows that  $R$ -injective modules are actually injective in the category  $R\text{-Mod}$ , there is a subcategory of  $R\text{-Mod}$  in which  $M$ -injective modules are injective. To define this subcategory we first recall some necessary terminology. The module  ${}_R X$  is said to be  $M$ -generated if there exists an  $R$ -epimorphism from a direct sum of copies of  $M$  onto  $X$ . Equivalently, for each nonzero  $R$ -homomorphism  $f : X \rightarrow Y$  there exists an  $R$ -homomorphism  $g : M \rightarrow X$  with  $fg \neq 0$ . The *trace* of  $M$  in  $X$  is defined to be

$$\text{tr}^M(X) = \sum_{f \in \text{Hom}(M, X)} f(M),$$

and thus  $X$  is  $M$ -generated if and only if  $\text{tr}^M(X) = X$ .

The category  $\sigma[M]$  is defined to be the full subcategory of  $R\text{-Mod}$  that contains all modules  ${}_R X$  such that  $X$  is isomorphic to a submodule of an  $M$ -generated module. The reader is referred to [4], [5], and [6] for results on the category  $\sigma[M]$ . The subcategory  $\sigma[M]$  coincides with  $R\text{-Mod}$  if and only if  $R$  belongs to  $\sigma[M]$ ; this occurs if and only if  $M$  is faithful and finitely annihilated. More generally, if  $M$  is finitely annihilated, then  $R/\text{Ann}_R(M)$  belongs to  $\sigma[M]$ , and it follows that  $\sigma[M]$

coincides with the class of left modules over  $R/\text{Ann}_R(M)$ . It is shown in [5] that  $\sigma[M]$  is closed under taking homomorphic images, submodules, and direct sums. Injective modules and injective envelopes exist in  $\sigma[M]$ , since the injective envelope of  $X$  in  $\sigma[M]$  is  $\text{tr}^M(\text{E}(X))$ , where  $\text{E}(X)$  denotes the injective envelope of  $X$  in  $R\text{-Mod}$ .

Our first goal is to extend the analogy between  $\sigma[M]$  and  $R\text{-Mod}$  by introducing the notion of an “ideal” of  $M$ , and to use this notion in the further study of  $\sigma[M]$ .

## 1 $M$ -ideals

The two-sided ideals of the ring  $R$  correspond to the annihilators of left  $R$ -modules. Furthermore, for a left  $R$ -module  $X$ , we have

$$\text{Ann}_R(X) = \{r \in R \mid rx = 0 \text{ for all } x \in X\} = \bigcap_{f \in \text{Hom}(R, X)} \ker(f) .$$

More generally, the annihilator of any class of modules is a two-sided ideal of  $R$ , and this motivates the following definition.

**Definition 1.1** *Let  $M$  be any left  $R$ -module, let  $\mathcal{C}$  be a class of modules in  $R\text{-Mod}$ , and let  $\Omega$  be the set of kernels of  $R$ -homomorphisms from  $M$  into  $\mathcal{C}$ . That is,*

$$\Omega = \{K \subseteq M \mid \exists W \in \mathcal{C} \text{ and } f \in \text{Hom}_R(M, W) \text{ with } K = \ker(f)\} .$$

*We define the annihilator of  $\mathcal{C}$  in  $M$  to be*

$$\text{Ann}_M(\mathcal{C}) = \bigcap_{K \in \Omega} K .$$

In [7], what we have called the annihilator of  $\mathcal{C}$  in  $M$  is called the reject of  $\mathcal{C}$  in  $M$ , and is denoted by  $\text{Rej}_M(\mathcal{C})$ . For our purposes, the term annihilator is more congenial, and is closer to the terminology of [4]. It allows us to draw a clear analogy with the definition of a two-sided ideal in the ring  $R$ .

**Definition 1.2** *The submodule  $N$  of  $M$  is called an  $M$ -ideal if there is a class  $\mathcal{C}$  of modules in  $\sigma[M]$  such that  $N = \text{Ann}_M(\mathcal{C})$ .*

Note that although the definition of an  $M$ -ideal is given relative to the subcategory  $\sigma[M]$ , it is easy to check that  $N$  is an  $M$ -ideal if and only if  $N = \text{Ann}_M(\mathcal{C})$  for some class  $\mathcal{C}$  in  $R\text{-Mod}$ . This also follows immediately from the proof of Proposition 1.3.

A subfunctor  $\rho$  of the identity on  $R\text{-Mod}$  is called a *radical* if  $\rho(X/\rho(X)) = (0)$ , for all modules  ${}_R X$ . We say that  ${}_R X$  is  $\rho$ -torsionfree if  $\rho(X) = (0)$ , and  $\rho$ -torsion if  $\rho(X) = X$ . If  $\rho$  is a radical, then since  $X/\rho(X)$  is  $\rho$ -torsionfree, it follows that  $\rho(X) = \text{Ann}_X(\mathcal{C})$ , where  $\mathcal{C}$  is the class of  $\rho$ -torsionfree modules. In addition,  $\rho(X)$  is the intersection of all submodules  $X'$  of  $X$  such that  $X/X'$  is  $\rho$ -torsionfree.

For a class  $\mathcal{C}$  of  $R$ -modules, the radical of  $R\text{-Mod}$  *cogenerated* by  $\mathcal{C}$  is defined by setting  $\text{rad}_{\mathcal{C}}(X) = \text{Ann}_X(\mathcal{C})$ , for all modules  ${}_R X$ . If the class  $\mathcal{C}$  consists of a single module  ${}_R W$ , we use the notation  $\text{rad}_W$ , and note that  $\text{rad}_W$  is the largest radical for which  $W$  is torsionfree. If  ${}_R V$  cogenerates  $W$ , then  $\text{rad}_V(W) = (0)$ , so  $\text{rad}_V \leq \text{rad}_W$ , and therefore  $\text{Ann}_M(V) \subseteq \text{Ann}_M(W)$ .

If  $I$  is any left ideal of  $R$ , it is possible to define a radical  $\rho$  of  $R\text{-Mod}$  by setting  $\rho(X) = IX$ , for each module  ${}_R X$ . It is evident that  $\rho$  is a subfunctor of the identity, and  $\rho$  is a radical since  $\rho(X/\rho(X)) = I \cdot (X/IX) = (0)$ . It follows that  $IX = \text{Ann}_X(\mathcal{C})$  for the class  $\mathcal{C}$  of all modules  ${}_R W$  with  $IW = (0)$ . Thus for any left ideal  $I$  of  $R$ , the submodule  $IM$  provides an example of an  $M$ -ideal.

The following conditions characterizing  $M$ -ideals were obtained in Proposition 3.3 of [8]. A proof is included here for the convenience of the reader.

**Proposition 1.3 ([8])** *The following conditions are equivalent for a submodule  $N \subseteq M$ .*

- (1)  $N$  is an  $M$ -ideal;
- (2) there exists a radical  $\rho$  of  $R\text{-Mod}$  such that  $N = \rho(M)$ ;
- (3)  $g(N) = (0)$  for all  $g \in \text{Hom}_R(M, (M/N))$ ;
- (4)  $N = \text{Ann}_M(M/N)$ .

*Proof.* (1)  $\Rightarrow$  (2): Assume that  $N = \text{Ann}_M(\mathcal{C})$  for the class  $\mathcal{C}$  of modules in  $\sigma[M]$ . Then  $N = \text{rad}_{\mathcal{C}}(M)$  for the radical  $\rho = \text{rad}_{\mathcal{C}}$  cogenerated by the class  $\mathcal{C}$ .

(2)  $\Rightarrow$  (3): Assume that  $\rho$  is a radical of  $R\text{-Mod}$ , with  $N = \rho(M)$ . Since  $\rho$  is a radical, we have  $\rho(M/N) = \rho(M/\rho(M)) = (0)$ . If  $g \in \text{Hom}_R(M, (M/N))$ , then  $g(N) = g(\rho(M)) \subseteq \rho(M/N) = (0)$ .

(3)  $\Rightarrow$  (4): It is always true that  $\text{Ann}_M(M/N) \subseteq N$ . Condition (3) implies that  $N \subseteq \bigcap_{f: M \rightarrow M/N} \ker(f)$ , so we have equality in this case.

(4)  $\Rightarrow$  (1): This follows immediately from the definition of an  $M$ -ideal.  $\square$

It is easy to show that the intersection of any collection of  $M$ -ideals is again an  $M$ -ideal. This follows from condition (2) of Proposition 1.3, since the intersection of any collection of radicals defines a radical. This result can also be shown using condition (3) of Proposition 1.3, since if  $N = \bigcap_{\alpha \in I} N_\alpha$ , where each submodule  $N_\alpha$  is an  $M$ -ideal, then  $M/N$  can be embedded in the direct product  $\prod_{\alpha \in I} M/N_\alpha$ , and it follows easily that  $f(N) = (0)$  for any homomorphism  $f : M \rightarrow M/N$ .

Let  $N$  be a submodule of  $M$ . Applying the functor  $\text{Hom}_R(-, M/N)$  to the exact sequence  $0 \rightarrow N \rightarrow M \rightarrow M/N \rightarrow 0$  yields the exact sequence

$$0 \rightarrow \text{Hom}_R(M/N, M/N) \rightarrow \text{Hom}_R(M, M/N) \rightarrow \text{Hom}_R(N, M/N) .$$

It follows that condition (3) of Proposition 1.3 is equivalent to the condition that the natural mapping  $\text{Hom}_R(M, M/N) \rightarrow \text{Hom}_R(N, M/N)$  is zero. Therefore  $N$  is an  $M$ -ideal if and only if the natural mapping  $\text{Hom}_R(M/N, M/N) \rightarrow \text{Hom}_R(M, M/N)$  is an isomorphism.

**Proposition 1.4** *Let  $K$  be an  $M$ -ideal, and let  $N \subseteq K$  be a submodule of  $M$ .*

(a) *The factor module  $K/N$  is an  $(M/N)$ -ideal (in  $M/N$ ).*

(b) *If  $N$  is a  $K$ -ideal (in  $K$ ), then  $N$  is an  $M$ -ideal.*

*Proof.* (a) If  $f \in \text{Hom}_R(M/N, M/N)$ , then consider  $f\pi$ , where  $\pi$  is the natural projection from  $M$  onto  $M/N$ . Since  $K$  is an  $M$ -ideal, we have  $f\pi(K) = (0)$ . Thus  $f(K/N) = (0)$ , showing that  $K/N$  is an  $(M/N)$ -ideal.

(b) If  $f \in \text{Hom}_R(M, M/N)$ , consider the composition  $\pi f$ , where  $\pi$  is the projection of  $M/N$  onto  $M/K$ . Since  $K$  is an  $M$ -ideal, we have  $\pi f(K) = (0)$ , and so  $f(K) \subseteq K/N$ . Thus it is possible to define  $g : K \rightarrow K/N$  by setting  $g(x) = f(x)$ , for

all  $x \in K$ . Then  $g(N) = (0)$  since  $N$  is an  $K$ -ideal, and it follows that  $f(N) = (0)$ , showing that  $N$  is an  $M$ -ideal.  $\square$

The next step is to define the product of two  $M$ -ideals. We give the definition more generally, constructing a product  $N \cdot X$  for any submodule  $N$  of  $M$  and any module  ${}_R X$ . As a model we use the fact that if  $I$  is a left ideal of  $R$ , then for any module  ${}_R X$  we have  $IX = \text{Ann}_X(\mathcal{C})$ , where  $\mathcal{C}$  is class of modules  ${}_R W$  such that  $IW = (0)$ . The condition  $IW = (0)$  can be expressed by stating that  $f(I) = (0)$  for all  $f \in \text{Hom}_R(R, W)$ , and it is this statement that can be generalized.

**Definition 1.5** *Let  $N$  be a submodule of  $M$ . For each module  ${}_R X$  we define*

$$N \cdot X = \text{Ann}_X(\mathcal{C}) ,$$

where  $\mathcal{C}$  is the class of modules  ${}_R W$  such that  $f(N) = (0)$  for all  $f \in \text{Hom}_R(M, W)$ .

It follows immediately from the definition that  $N \cdot X = (0)$  if and only if  $f(N) = (0)$  for all  $f \in \text{Hom}_R(M, X)$ . It is evident that the class  $\mathcal{C}$  in Definition 1.5 is closed under formation of submodules and direct products, and so  $N \cdot X$  is the smallest submodule  $Y \subseteq X$  such that  $N \cdot (X/Y) = (0)$ . We also note that

$$\sum_{f \in \text{Hom}(M, X)} f(N) \subseteq N \cdot X ,$$

since for any  $f \in \text{Hom}_R(M, X)$  we must have  $\pi f(N) = (0)$  for the natural projection  $\pi : X \rightarrow X/(N \cdot X)$ .

Since the functor  $N \cdot (-)$  defines a radical, it follows that if  $X_0$  is a submodule of  $X$ , then  $N \cdot X_0 \subseteq N \cdot X$ , and if  $f : X \rightarrow Y$  is any  $R$ -homomorphism, then  $f(N \cdot X) \subseteq N \cdot Y$ .

**Proposition 1.6** *Let  $N$  be a submodule of  $M$ . Then for any module  ${}_R X$  we have  $N \cdot X = (0)$  if and only if  $N \subseteq \text{Ann}_M(X)$ .*

*Proof.* We have  $N \cdot X = (0)$  if and only if  $f(N) = (0)$  for all  $f \in \text{Hom}_R(M, X)$ , and this occurs if and only if  $N \subseteq \text{Ann}_M(X)$ .  $\square$

The next corollary is an immediate consequence of condition (4) of Proposition 1.3.

**Corollary 1.7** *If  $N$  is a submodule of  $M$ , then  $N$  is an  $M$ -ideal if and only if  $N \cdot (M/N) = (0)$ .*

A class  $\mathcal{T}$  of modules in  $R\text{-Mod}$  is a *torsion class* if it is closed under homomorphic images, direct sums, and extensions. A class  $\mathcal{F}$  of modules is called a *torsionfree class* if it is closed under submodules, direct products, and extensions. (See Propositions 2.1 and 2.2 in Chapter VI of [9].) The smallest torsion class containing  $M$  is constructed by taking  $\mathcal{F}$  to be all modules  ${}_R W$  such that  $\text{Hom}_R(M, W) = 0$ , and then letting the torsion class consist of all modules  ${}_R X$  such that  $\text{Hom}_R(X, W) = 0$  for all  $W$  in  $\mathcal{F}$ . It follows directly from Definition 1.5 that the smallest torsion class that contains  $M$  is the class of all modules  ${}_R X$  such that  $M \cdot X = X$ .

If  $\rho$  is a radical of  $R\text{-Mod}$ , then  $\rho(R)X \subseteq \rho(X)$ , for all modules  ${}_R X$ . This result extends to  $\sigma[M]$ , since Proposition 3.3 of [8] shows that if  $N$  is an  $M$ -ideal, and  $\rho$  is a radical of  $R\text{-Mod}$  such that  $N = \rho(M)$ , then  $N \cdot X \subseteq \rho(X)$ , for all modules  ${}_R X$ . We give a somewhat more general result, which implies that for any submodule  $N \subseteq M$ , the radical  $N \cdot (-)$  is the smallest radical  $\rho$  of  $R\text{-Mod}$  for which  $N \subseteq \rho(M)$ .

**Lemma 1.8** *Let  $N$  be a submodule of  $M$ . If  $\rho$  is a radical of  $R\text{-Mod}$  such that  $N \subseteq \rho(M)$ , then  $N \cdot X \subseteq \rho(X)$ , for all modules  ${}_R X$ .*

*Proof.* If  ${}_R W$  is any module with  $\rho(W) = (0)$ , then  $f(N) = f(\rho(M)) \subseteq (0)$  for all  $f \in \text{Hom}_R(M, W)$ . Thus  $N \cdot W = (0)$  for all  $\rho$ -torsionfree modules  $W$ , and therefore  $N \cdot X \subseteq \rho(X)$  for all modules  ${}_R X$ .  $\square$

We next show that some standard results for two-sided ideals of the ring  $R$  can be extended to  $M$ -ideals. In particular, the product of two  $M$ -ideals is an  $M$ -ideal that is contained in their intersection.

**Proposition 1.9** *Let  $N$  and  $K$  be submodules of  $M$ .*

- (a) *If  $N \subseteq K$ , then  $N \cdot X \subseteq K \cdot X$ , for all modules  ${}_R X$ .*
- (b) *If  $K$  is an  $M$ -ideal, then so is  $N \cdot K$ .*
- (c) *The submodule  $N \cdot M$  is the smallest  $M$ -ideal that contains  $N$ .*
- (d) *If  $N$  is an  $M$ -ideal, then  $N \cdot K \subseteq N \cap K$ .*

*Proof.* (a) If  $N \subseteq K$ , then  $K \cdot W = (0)$  implies  $N \cdot W = (0)$ , for any module  ${}_R W$ , and therefore  $N \cdot X \subseteq K \cdot X$ , for all modules  ${}_R X$ .

(b) By definition,  $N \cdot K$  is a  $K$ -ideal (in  $K$ ). Since  $K$  is assumed to be an  $M$ -ideal, it follows from Proposition 1.4 (b) that  $N \cdot K$  is an  $M$ -ideal.

(c) If  $K$  is an  $M$ -ideal and  $N \subseteq K$ , then  $K = \rho(M)$ , for some radical  $\rho$ . By Lemma 1.8 we have  $N \cdot M \subseteq \rho(M) = K$ .

(d) We certainly have  $N \cdot K \subseteq K$ . On the other hand,  $N \cdot K \subseteq N \cdot M = N$  by part (c), since  $N$  is assumed to be an  $M$ -ideal.  $\square$

Some results for ideals cannot be extended to this situation. For example, if  $A, B, C$  are ideals of  $R$ , with  $C \subseteq B$ , then  $AB \subseteq C$  if and only if  $A(B/C) = (0)$ . The corresponding result, that  $N \cdot K \subseteq P$  implies  $N \cdot (K/P) = (0)$  for  $M$ -ideals  $N, K, P$  with  $P \subseteq K$ , holds if  $M$  is projective in  $\sigma[M]$ , as will be shown in Proposition 5.5.

We can now extend some standard results on annihilators.

**Proposition 1.10** *Let  ${}_R X$  be a module.*

$$(a) \text{ Ann}_M(X) = \text{Ann}_M(\text{tr}^M(X)) = \text{Ann}_M(M \cdot X).$$

(b) *If  $N$  is any submodule of  $M$ , let  $T = \sum_{f \in \text{Hom}_R(M, X)} f(N)$ , and let  $A = \text{Ann}_M(X)$ . Then  $\text{Ann}_R(T) = \text{Ann}_R((N + A)/A)$ .*

$$(c) \text{ Ann}_R(\text{tr}^M(X)) = \text{Ann}_R(M / \text{Ann}_M(X)).$$

*Proof.* (a) If  $f \in \text{Hom}_R(M, X)$ , then  $f(M) \subseteq \text{tr}^M(X) \subseteq M \cdot X$ . It follows that  $\text{Hom}_R(M, X) = \text{Hom}_R(M, \text{tr}^M(X)) = \text{Hom}_R(M, M \cdot X)$ , and so

$$\bigcap_{f \in \text{Hom}(M, X)} \ker(f) = \bigcap_{f \in \text{Hom}(M, \text{tr}^M(X))} \ker(f) = \bigcap_{f \in \text{Hom}(M, M \cdot X)} \ker(f).$$

(b) On the one hand,  $M / \text{Ann}_M(X) = M / \text{Ann}_M(\text{tr}^M(X))$  can be embedded in a direct product of copies  $\text{tr}^M(X)$ . It follows that  $(N + A)/A$  can be embedded in a direct product of copies of  $T$ , and so

$$\text{Ann}_R(T) \subseteq \text{Ann}_R((N + A)/A).$$

On the other hand,  $T$  is a homomorphic image of a direct sum of copies of  $N$ . Since each homomorphism in  $\text{Hom}_R(M, \text{tr}^M(X))$  factors through

$$M / \text{Ann}_M(X) = M / \text{Ann}_M(\text{tr}^M(X)),$$



it is possible to write  $T$  as a homomorphic image of a direct sum of copies of  $(N + A)/A$ , and so it follows that

$$\text{Ann}_R((N + A)/A) \subseteq \text{Ann}_R(T) .$$

This completes the proof.

(c) This follows immediately from part (b), by taking  $N = M$ .  $\square$

## 2 $M$ -prime modules

In this section we give the definition of an  $M$ -prime module, and then in the next section we will define an  $M$ -ideal to be prime if it is the annihilator in  $M$  of an  $M$ -prime module. Recall that the module  ${}_R X$  is said to be a prime module if  $X$  is nonzero and  $\text{Ann}_R(Y) = \text{Ann}_R(X)$  for all nonzero submodules  $Y \subseteq X$ . The definition of an  $M$ -prime module is complicated by the fact that it is possible to have  $\text{Hom}_R(M, X) = 0$  even though  $X$  is a nonzero member of  $\sigma[M]$ .

**Definition 2.1** *The module  ${}_R X$  is said to be  $M$ -prime if  $\text{Hom}_R(M, X) \neq 0$ , and  $\text{Ann}_M(Y) = \text{Ann}_M(X)$  for all submodules  $Y \subseteq X$  such that  $\text{Hom}_R(M, Y) \neq 0$ .*

In case  $M = R$ , we have  $\text{Hom}_R(M, X) = \text{Hom}_R(R, X) \neq 0$  for all nonzero modules  ${}_R X$ , and so the notion of an  $R$ -prime module reduces to the familiar definition of a prime module in  $R\text{-Mod}$ . For the sake of clarity, for the remainder of the paper we will use the term “ $R$ -prime module” rather than “prime  $R$ -module”.

The next proposition gives several characterizations of  $M$ -prime modules, extending the following conditions, which are equivalent for any nonzero module  ${}_R X$ :

- (1)  $X$  is an  $R$ -prime module;
- (2)  $IY = (0)$  implies  $IX = (0)$ , for any left ideal  $I$  of  $R$  and any nonzero submodule  $Y \subseteq X$ ;
- (3) for each  $a \in R \setminus \text{Ann}_R(X)$  and each  $0 \neq x \in X$  there exists  $r \in R$  with  $arx \neq 0$ ;
- (4)  $IY = (0)$  implies  $IX = (0)$ , for any two-sided ideal  $I$  of  $R$  and any nonzero submodule  $Y \subseteq X$ .

**Proposition 2.2** *The following conditions are equivalent for any left  $R$ -module  $X$  such that  $\text{Hom}_R(M, X) \neq 0$ .*

- (1)  $X$  is an  $M$ -prime module;
- (2)  $N \cdot Y = (0)$  implies  $N \cdot X = (0)$ , for any submodule  $N \subseteq M$  and any submodule  $Y \subseteq X$  with  $M \cdot Y \neq (0)$ ;
- (3) for each  $m \in M \setminus \text{Ann}_M(X)$  and each  $0 \neq f \in \text{Hom}_R(M, X)$ , there exists  $g \in \text{Hom}_R(M, f(M))$  such that  $g(m) \neq 0$ ;
- (4)  $N \cdot Y = (0)$  implies  $N \cdot X = (0)$ , for any  $M$ -ideal  $N \subseteq M$  and any nonzero  $M$ -generated submodule  $Y \subseteq X$ .

*Proof.* (1)  $\Rightarrow$  (2): Let  $N$  be any submodule of  $M$ , and let  $Y$  be any submodule of  $X$  with  $M \cdot Y \neq (0)$ . Then  $\text{Hom}_R(M, Y) \neq 0$ , and so  $\text{Ann}_M(Y) \subseteq \text{Ann}_M(X)$  since  $X$  is assumed to be  $M$ -prime. It follows from Proposition 1.6 that if  $N \cdot Y = (0)$ , then  $N \cdot X = (0)$ .

(2)  $\Rightarrow$  (3): Let  $m \in M \setminus \text{Ann}_M(X)$  and  $0 \neq f \in \text{Hom}_R(M, X)$ . Then by assumption we must have  $N \cdot f(M) \neq (0)$  for  $N = Rm$ , so  $Rm$  is not contained in  $\text{Ann}_M(f(M))$ , and therefore there exists  $g \in \text{Hom}_R(M, f(M))$  with  $g(m) \neq 0$ .

(3)  $\Rightarrow$  (4): Let  $Y$  be a nonzero  $M$ -generated submodule of  $X$ , and let  $N$  be any  $M$ -ideal with  $N \cdot Y = (0)$ . If  $N \cdot X \neq (0)$ , then there exists  $m \in N \setminus \text{Ann}_M(X)$ . Since  $Y$  is  $M$ -generated, there exists  $0 \neq f \in \text{Hom}_R(M, Y)$ , and so by assumption there exists  $g \in \text{Hom}_R(M, Y)$  with  $g(m) \neq 0$ . Thus  $Rm \cdot Y \neq (0)$ , a contradiction.

(4)  $\Rightarrow$  (1): Assume  $Y$  is a nonzero submodule of  $X$  with  $\text{Hom}_R(M, Y) \neq 0$ , and let  $N = \text{Ann}_M(Y)$ . By assumption there exists  $0 \neq f \in \text{Hom}_R(M, Y)$ , and then  $N \cdot f(M) = (0)$ . Since  $f(M)$  is certainly  $M$ -generated, by assumption we have  $N \cdot X = (0)$ , and thus  $\text{Ann}_M(Y) = \text{Ann}_M(X)$ , showing that  $X$  is an  $M$ -prime module.  $\square$

**Corollary 2.3** *Let  ${}_R X$  be an  $M$ -prime module. A submodule  $Y$  of  $X$  is  $M$ -prime if and only if  $\text{Hom}_R(M, Y) \neq 0$ .*

*Proof.* Condition (2) of Proposition 2.2 is inherited by any submodule. Thus a nonzero submodule  $Y$  is  $M$ -prime if and only if  $\text{Hom}_R(M, Y) \neq 0$ .  $\square$

**Corollary 2.4** *The following conditions are equivalent for a module  ${}_R X$  such that  $\text{Hom}_R(M, X) \neq 0$ .*

- (1)  $X$  is an  $M$ -prime module;
- (2)  $M \cdot X$  is an  $M$ -prime module;
- (3)  $\text{tr}^M(X)$  is an  $M$ -prime module.

*Proof.* Since  $\text{tr}^M(X) \subseteq M \cdot X \subseteq X$ , it follows from Corollary 2.3 that (1)  $\Rightarrow$  (2) and (2)  $\Rightarrow$  (3). The implication (3)  $\Rightarrow$  (1) follows from condition (4) of Proposition 2.2, since every  $M$ -generated submodule of  $X$  is contained in  $\text{tr}^M(X)$ .  $\square$

We note that, in particular, the module  ${}_R X$  is  $M$ -prime if it has no proper nontrivial  $M$ -generated submodules. If  $R$  is a simple ring, then every nonzero left  $R$ -module is  $R$ -prime. Similarly, if  $M$  has no proper nontrivial  $M$ -ideals, then every module  ${}_R X$  with  $\text{Hom}_R(M, X) \neq 0$  is  $M$ -prime.

In the following example, we show that an  $M$ -prime module need not be  $R$ -prime. We also show that an  $R$ -prime module need not be  $M$ -prime.

### Example 2.1

Let  $M = \mathbf{Z}_{p^\infty}$ , in the category of  $\mathbf{Z}$ -modules. Every proper factor of  $M$  is isomorphic to  $M$  itself, and so no proper nontrivial submodule can be an  $M$ -ideal. It also follows that no proper nontrivial submodule of  $M$  is  $M$ -generated. Thus  $M$  is  $M$ -prime, but each proper submodule has nonzero annihilator in  $\mathbf{Z}$ , and so  $M$  is not a  $\mathbf{Z}$ -prime module.

On the other hand,  $\mathbf{Z}$  itself is certainly a  $\mathbf{Z}$ -prime module, but it is not  $M$ -prime since  $\text{Hom}_{\mathbf{Z}}(\mathbf{Z}_{p^\infty}, \mathbf{Z}) = 0$ . In fact,  $\text{Hom}_{\mathbf{Z}}(\mathbf{Z}_{p^\infty}, X) = 0$  for all  $\mathbf{Z}$ -prime modules.

**Proposition 2.5** *Let  ${}_R X$  be an  $M$ -prime module. If  $\text{Hom}_R(M, Y) \neq 0$  for all nonzero submodules  $Y \subseteq X$ , then  $\text{tr}^M(X)$  is an  $R$ -prime module.*

*Proof.* Without loss of generality we can assume that  $X = \text{tr}^M(X)$ . Then  $X$  is  $M$ -generated, and so it follows from Proposition 1.10 (c) that

$$\text{Ann}_R(X) = \text{Ann}_R(M / \text{Ann}_M(X)) .$$

By assumption, every nonzero submodule  $X'$  of  $X$  contains a nonzero  $M$ -generated submodule  $Y$ . Since  $X$  is  $M$ -prime we have  $\text{Ann}_M(Y) = \text{Ann}_M(X)$ , and therefore

$$\text{Ann}_R(X') \subseteq \text{Ann}_R(Y) = \text{Ann}_R(M / \text{Ann}_M(Y)) = \text{Ann}_R(M / \text{Ann}_M(X)) = \text{Ann}_R(X).$$

It follows that  $X$  is an  $R$ -prime module.  $\square$

In [10], a nonzero module  ${}_R M$  is called prime if  $\text{rad}_N = \text{rad}_M$  for all nonzero submodules  $N$  of  $M$ . It is shown in Proposition 2.3 of [10] that a nonzero module  $M$  satisfies this definition if and only if it is cogenerated by each of its nonzero submodules. To relate this notion to our definition of an  $M$ -prime module, we have the following proposition, which shows that such modules are “universally”  $M$ -prime.

**Proposition 2.6** *The following conditions are equivalent for a nonzero module  ${}_R X$ .*

- (1) *Each nonzero submodule of  $X$  cogenerates  $X$ ;*
- (2)  *$X$  is  $M$ -prime for each module  ${}_R M$  such that  $\sigma[M] = \sigma[X]$  and  $X$  is  $M$ -generated;*
- (3)  *$X$  is  $M$ -prime for each module  ${}_R M$  such that  $\text{Hom}_R(M, X) \neq 0$ .*

*Proof.* (1)  $\Rightarrow$  (3): If  $Y$  is a nonzero submodule of  $X$ , then for any module  $M$  we have  $\text{Ann}_M(Y) \subseteq \text{Ann}_M(X)$  since  $Y$  cogenerates  $X$ . Thus  $X$  is an  $M$ -prime module if and only if  $\text{Hom}_R(M, X) \neq 0$ .

(3)  $\Rightarrow$  (2): This is clear.

(2)  $\Rightarrow$  (1): Given any nonzero submodule  $Y$  of  $X$ , consider the module  $M = X \oplus Y$ . Then  $X$  is  $M$ -generated, and  $\sigma[M] = \sigma[X]$  since  $Y$  belongs to  $\sigma[X]$ . By assumption  $X$  is  $M$ -prime, and so  $\text{Ann}_M(Y) = \text{Ann}_M(X) = (0)$ . This implies that  $\text{Ann}_X(Y) = (0)$ , and therefore  $Y$  cogenerates  $X$ .  $\square$

If  ${}_R X$  is a module for which  $\text{Hom}_R(M, X) \neq 0$ , then to show that  $X$  is an  $M$ -prime module it is only necessary to show that every nonzero  $M$ -generated submodule of  $X$  cogenerates  $X$ .

A nonzero module  ${}_R X$  is said to be *semi-compressible* if for each nonzero submodule  $Y \subseteq X$  there exists a monomorphism  $f : X \rightarrow Y^n$ , for a finite direct sum  $Y^n$  of copies of  $Y$ . It is clear that any nonzero semi-compressible module is an  $R$ -prime module. Proposition 9.3.3 of [11] implies that any uniform left ideal of a semiprime left Goldie ring is a semi-compressible module.

**Corollary 2.7** *If  ${}_R X$  is semi-compressible or  $X \cong R/P$  for a prime ideal  $P$  of  $R$ , then  $X$  is an  $M$ -prime module if and only if  $\text{Hom}_R(M, X) \neq 0$ .*

*Proof.* The conditions of Proposition 2.6 are satisfied in either case.  $\square$

We now turn our attention to the case in which  $M$  itself is  $M$ -prime.

**Proposition 2.8** *The module  $M$  is an  $M$ -prime module if and only if  $f(M)$  cogenerates  $M$ , for each nonzero endomorphism  $f \in \text{End}_R(M)$ .*

*Proof.* Assume that  $M$  is an  $M$ -prime module. Then for any nonzero submodule  $N$  with  $\text{Hom}_R(M, N) \neq 0$  we have  $\text{Ann}_M(N) = \text{Ann}_M(M) = (0)$ . It follows that  $N$  cogenerates  $M$ .

To prove the converse we can use condition (3) of Proposition 2.2. Let  $0 \neq m \in M$ , and let  $0 \neq f \in \text{End}_R(M)$ . By hypothesis,  $f(M)$  cogenerates  $M$ , and so there exists  $g \in \text{Hom}_R(M, f(M))$  with  $g(m) \neq 0$ . Thus condition (3) of Proposition 2.2 is satisfied, and  $M$  is an  $M$ -prime module.  $\square$

A module  ${}_R X$  is called *monoform* if each nonzero homomorphism  $f : Y \rightarrow X$  defined on a submodule  $Y$  of  $X$  must be a monomorphism. It is clear from the definition that any monoform module satisfies the hypothesis of Proposition 2.8.

**Corollary 2.9** *If  $M$  is a monoform module, then  $M$  is an  $M$ -prime module.*

We note that any monoform module must be uniform, and the converse holds for nonsingular modules. A module  $X$  is called  $\alpha$ -critical, for the ordinal  $\alpha$ , if it has Krull dimension  $\alpha$ , but every proper factor module  $X/Y$  has Krull dimension less than  $\alpha$ . Lemma 6.2.13 of [11] shows that any  $\alpha$ -critical module is monoform.

The next theorem shows that under certain conditions the properties under consideration can be translated to properties of the endomorphism ring.

**Theorem 2.10** *If  $M$  is  $M$ -prime and quasi-projective, then  $\text{End}_R(M)$  is a prime ring. Conversely, if  $\text{End}_R(M)$  is a prime ring and  $\text{Hom}_R(M, K) \neq 0$  for all nonzero submodules  $K \subseteq M$ , then  $M$  is an  $M$ -prime module.*

*Proof.* Assume that  $M$  is  $M$ -prime and quasi-projective, and let  $f, g$  be nonzero elements of  $\text{End}_R(M)$ . By condition (3) of Proposition 2.2 there exists a homomorphism  $h \in \text{Hom}_R(M, f(M))$  with  $hg \neq 0$ . Since  $M$  is quasi-projective, the homomorphism  $h$  can be lifted to  $\hat{h} \in \text{End}_R(M)$ , with  $f\hat{h} = h$ . It follows that  $f\hat{h}g \neq 0$ , and therefore  $\text{End}_R(M)$  is a prime ring.

Conversely, suppose that  $\text{End}_R(M)$  is a prime ring and  $\text{Hom}_R(M, K) \neq 0$  for all nonzero submodules  $K \subseteq M$ . Let  $f \in \text{End}_R(M)$  be a nonzero endomorphism. Given any nonzero submodule  $K \subseteq M$  there exists a nonzero element  $g \in \text{Hom}_R(M, K)$ , which we can view as an element of  $\text{End}_R(M)$ . Since  $\text{End}_R(M)$  is a prime ring, there exists  $h \in \text{End}_R(M)$  with  $fhg \neq 0$ . It follows that  $f(M)$  cogenerates  $M$ , since  $fh : M \rightarrow f(M)$  and  $fh(K) \neq (0)$ .  $\square$

**Proposition 2.11** *If  $P$  is an  $M$ -ideal, then  $M/P$  is an  $M$ -prime module if and only if  $M/P$  is an  $M/P$ -prime module.*

*Proof.* The factor module  $M/P$  is  $M$ -prime if and only if  $\text{Ann}_M(Y) = P$  or  $\text{Ann}_M(Y) = M$ , for all nonzero submodules  $Y \subseteq M/P$ . It is  $M/P$ -prime if and only if  $\text{Ann}_{M/P}(Y) = (0)$  or  $\text{Ann}_{M/P}(Y) = M/P$ , for all nonzero submodules  $Y \subseteq M/P$ . Since  $P$  is an  $M$ -ideal, we have  $f(P) = (0)$  for all  $f \in \text{Hom}_R(M, M/P)$ , and so  $\text{Ann}_M(Y) = P$  if and only if  $\text{Ann}_{M/P}(Y) = (0)$ , and  $\text{Ann}_M(Y) = M$  if and only if  $\text{Ann}_{M/P}(Y) = M/P$ . Thus  $M/P$  is  $M$ -prime if and only if it is  $M/P$ -prime.  $\square$

### 3 Prime $M$ -ideals

We will proceed by analogy with the situation for ideals of the ring  $R$ . Our next step is to define the notion of a prime  $M$ -ideal. The existence of associated primes, when  $M$  is Noetherian, provides the motivation for the definition of an  $M$ -prime module, and for that of a prime  $M$ -ideal. Requiring that the usual proof remains valid in this more general setting means that the following definition of a prime  $M$ -ideal is weaker than one would hope to have.

**Definition 3.1** *The  $M$ -ideal  $P$  is said to be a prime  $M$ -ideal if there exists an  $M$ -prime module  ${}_R X$  such that  $P = \text{Ann}_M(X)$ .*

Although the above definition is given in  $R\text{-Mod}$ , the next proposition shows that it is actually a definition in  $\sigma[M]$ .

**Proposition 3.2** *If  $P$  is a prime  $M$ -ideal, then  $P = \text{Ann}_M(X)$  for an  $M$ -generated  $M$ -prime module  ${}_R X$ .*

*Proof.* Let  $P$  be a prime  $M$ -ideal, and let  ${}_R Y$  be an  $M$ -prime module with  $P = \text{Ann}_M(Y)$ . If we let  $X = \text{tr}^M(Y)$ , then  $X$  is  $M$ -generated. It follows from part (c) of Corollary 2.4 that  $X$  is an  $M$ -prime module. Finally, it follows from Proposition 1.10 (a) that  $P = \text{Ann}_M(X)$ .  $\square$

We next consider prime  $M$ -ideals associated to a module.

**Definition 3.3** *Let  $P$  be a prime  $M$ -ideal. We say that  $P$  is a prime  $M$ -ideal associated to the module  $X$  if  $P = \text{Ann}_M(Y)$  for an  $M$ -prime submodule  $Y$  of  $X$ .*

**Proposition 3.4** *Assume that  $M$  is a Noetherian module, and let  $X$  be any left  $R$ -module. If  $\text{Hom}_R(M, X) \neq 0$ , then  $X$  has an associated prime  $M$ -ideal.*

*Proof.* Consider the set of all proper  $M$ -ideals that are annihilators of submodules of  $X$ . Since  $\text{Hom}_R(M, X) \neq 0$ , this set contains  $\text{Ann}_M(X)$ , and so it is nonempty. Since  $M$  is Noetherian, there exists a maximal element  $P$  in the set, with  $P = \text{Ann}_M(Y)$ . If  $Y'$  is any submodule of  $Y$ , then  $\text{Ann}_M(Y) \subseteq \text{Ann}_M(Y')$ , and so by definition  $Y$  is  $M$ -prime.  $\square$

The Jacobson radical of the ring  $R$  is generally defined to be the intersection of maximal left ideals of  $R$ , and then this intersection is shown to be the same as the intersection of all primitive ideals of  $R$ . The definition is extended to modules, by defining the Jacobson radical  $J(X)$  of a module  ${}_R X$  to be the intersection of all maximal submodules of  $X$  (or just  $X$ , if  $X$  has no maximal submodules). Equivalently,  $J(X) = \text{Ann}_X(\mathcal{C})$ , where  $\mathcal{C}$  is the class of simple left  $R$ -modules. Using the notion of an  $M$ -ideal, we can define the notion of a primitive  $M$ -ideal, from which it follows that  $J(M)$  is equal to the intersection of all primitive  $M$ -ideals.

**Definition 3.5** *An  $M$ -ideal  $P$  is said to be a primitive  $M$ -ideal if  $P = \text{Ann}_M(S)$  for a simple module  ${}_R S$ .*

The notion of a maximal ideal of  $R$  can also be generalized immediately to  $M$ -ideals, and the next proposition shows that the expected results hold.

**Proposition 3.6** *Let  $P$  be a proper  $M$ -ideal.*

- (a) *If  $P$  is a maximal  $M$ -ideal, then  $P$  is a prime  $M$ -ideal.*
- (b) *If  $P$  is a primitive  $M$ -ideal, then  $P$  is a prime  $M$ -ideal.*

*Proof.* (a) Suppose that  $P$  is a maximal  $M$ -ideal. If  $K/P$  is any nonzero submodule of  $M/P$  with  $\text{Hom}_R(M, K/P) \neq 0$ , then  $P = \text{Ann}_M(M/P) \subseteq \text{Ann}_M(K/P)$  and  $\text{Ann}_M(K/P) \neq M$ , so the maximality of  $P$  forces  $\text{Ann}_M(K/P) = \text{Ann}_M(M/P)$ . Thus  $M/P$  is an  $M$ -prime module with  $\text{Ann}_M(M/P) = P$ .

(b) If  $P$  is a primitive  $M$ -ideal, then  $P = \text{Ann}_M(S)$  for a simple left  $R$ -module  $S$ . It follows immediately from Corollary 2.9 that  $S$  is an  $M$ -prime module.  $\square$

The next proposition generalizes the well-known result that a simple Artinian ring is a finite direct sum of minimal left ideals.



**Proposition 3.7** *Assume that  $M$  is a finitely generated, Artinian module. If  $(0)$  is a maximal  $M$ -ideal, then  $M$  is a homogeneous semisimple module.*

*Proof.* Since  $M$  is finitely generated, it has a maximal submodule  $M_0$ , and we can consider the radical  $\text{rad}_S$  defined by the simple module  $S = M/M_0$ . Since  $\text{rad}_S(M) \neq M$ , by assumption we must have  $\text{rad}_S(M) = (0)$ , and so  $(0)$  is an intersection of maximal submodules. Since  $M$  is Artinian,  $(0)$  must be a finite intersection of maximal submodules, and therefore  $M$  is isomorphic to a finite direct sum of copies of  $S$ .  $\square$

## 4 Modules with finite length

Using the notion of an  $M$ -ideal, we can obtain some information on modules with finite length. The definition of the Jacobson radical of  $M$  also provides an illustration of the use of the product of  $M$ -ideals.

Let  $\mathcal{S}$  denote the class of simple modules in  $\sigma[M]$ , and let  $J$  denote the radical cogenerated by  $\mathcal{S}$ , so that for a module  ${}_R X$  in  $\sigma[M]$ , the submodule  $J(X)$  is the intersection of all maximal submodules of  $X$ . Our first result is a version of Nakayama's lemma.

**Proposition 4.1** *If the module  ${}_R X$  is finitely generated and belongs to  $\sigma[M]$ , then  $J(M) \cdot X = X$  implies  $X = (0)$ .*

*Proof.* Assume that  $X$  is a nonzero finitely generated module in  $\sigma[M]$ . Then  $X$  has at least one maximal submodule  $X_0$ , and  $X/X_0$  is a simple module in  $\sigma[M]$ , so  $J(X) \neq X$ . If  $X$  is nonzero, then it follows from Lemma 1.8 that  $J(M) \cdot X \subseteq J(X) \neq X$ .  $\square$

We recall that a submodule  $Y$  of the module  ${}_R X$  is said to be a small (or superfluous) submodule if  $Y + X' = X$  implies  $X' = X$ , for any submodule  $X'$  of  $X$ .

**Corollary 4.2** *Let  $N$  be an  $M$ -ideal.*

(a) *If  $N \subseteq J(M)$ , then  $N \cdot X$  is a small submodule of  $X$ , for all finitely generated modules  ${}_R X$  in  $\sigma[M]$ .*

(b) *Conversely, if  $M$  is finitely generated and  $N \cdot X$  is small in  $X$  for all finitely generated modules  ${}_R X$  in  $\sigma[M]$ , then  $N \subseteq J(M)$ .*

*Proof.* (a) Suppose that  $Y$  is a submodule of  $X$  such that  $N \cdot X + Y = X$ . Then for the natural projection  $\pi : X \rightarrow X/Y$  we have  $\pi(N \cdot X) = X/Y$ , and so  $N \cdot (X/Y) = X/Y$ . Thus  $J(M) \cdot (X/Y) = X/Y$ , and since  $X/Y$  is finitely generated, it follows from Proposition 4.1 that  $X/Y = (0)$ . Thus  $Y = X$ , and it follows that  $N \cdot Y$  is a small submodule of  $X$ .

(b) Since  $M$  is finitely generated and  $N$  is an  $M$ -ideal, by assumption  $N = N \cdot M$  is a small submodule of  $M$ . Thus  $N \subseteq J(M)$ , since the intersection of all maximal submodules of  $M$  is equal to the sum of all small submodules of  $M$ .  $\square$

**Definition 4.3** *If  $N$  is an  $M$ -ideal, then successive powers of  $N$  are defined as follows. First,  $N^2 = N \cdot N$ . Then by induction, for any integer  $k > 2$ , we define  $N^k = N \cdot N^{k-1}$ .*

With the above convention, we obtain the following result.

**Proposition 4.4** *If  $M$  is a module with finite length, and  $J(M)$  is the Jacobson radical of  $M$ , then  $J(M)$  is nilpotent.*

*Proof.* Since  $M$  is Noetherian, every submodule of  $M$  is finitely generated, so it follows from Proposition 4.1 that if  $J(M)^n \neq (0)$ , then  $J(M) \cdot J(M)^n$  is properly contained in  $J(M)^n$ . The descending chain  $M \supset J(M) \supset \cdots \supset J(M)^n \supset \cdots$  must terminate at  $(0)$ , since  $M$  is Artinian.  $\square$

It is well-known that the following conditions are equivalent for a nonzero module  ${}_R X$  (see Proposition 21.3 of [5]): (i)  $X$  contains an essential Artinian submodule; (ii) if a collection of submodules of  $X$  has zero intersection, then so does a finite subset of the collection; (iii)  $X$  has a finitely generated essential socle. The module  $X$  is

called *essentially Artinian* (or finitely cogenerated) if the above conditions hold. It follows that  $X$  is Artinian if and only if every factor module is essentially Artinian. Proposition 5 of [12] shows that the ring  $R$  is left Artinian if and only if for each ideal  $I$  of  $R$  the ring  $R/I$  is essentially left Artinian. This result can be extended to Noetherian modules (we state a somewhat stronger result).

**Proposition 4.5** *Assume that each  $M$ -ideal is a finitely generated submodule of  $M$ . Then  $M$  has finite length if and only if for each  $M$ -ideal  $N$  the factor module  $M/N$  is essentially Artinian.*

*Proof.* If  $M$  has finite length, then every factor module is Artinian.

To prove the converse, let  $N = \bigcap_{k=1}^{\infty} J(M)^k$ . Then  $N$  is an  $M$ -ideal since the intersection of any collection of  $M$ -ideals is again an  $M$ -ideal. By assumption,  $M/N$  is essentially Artinian, so  $N$  is the intersection of finitely many terms in the sequence  $\{J(M)^k\}_{k=1}^{\infty}$ . It follows that there exists a positive integer  $n$  such that  $J(M)^k = J(M)^n$  for all integers  $k > n$ . Since  $J(M)^n$  is assumed to be finitely generated, this contradicts Proposition 4.1, unless  $J(M)^n = (0)$ .

In each factor module  $J(M)^{k-1}/J(M)^k$ , the  $(0)$  submodule is an intersection of maximal submodules, and this intersection reduces to a finite intersection. It follows that  $J(M)^{k-1}/J(M)^k$  can be embedded in a finitely generated semisimple module, so it has a composition series. Thus  $M$  itself has a composition series.  $\square$

**Proposition 4.6** *Assume that  $M$  has finite length, and that  $\text{Hom}_R(M, N) \neq 0$  for every nonzero  $M$ -ideal  $N \subseteq M$ . If  $M$  is an  $M$ -prime module, then  $M$  is semisimple and homogeneous.*

*Proof.* It follows from Proposition 4.4 that  $J(M)$  is nilpotent of some degree, say  $n$ . Then  $J(M) \cdot J(M)^{n-1} = J(M)^n = (0)$ , but  $J(M)^{n-1} \neq (0)$ . By assumption  $\text{Hom}_R(M, J(M)^{n-1}) \neq 0$ , and since  $M$  is an  $M$ -prime module, it follows that  $J(M) = (0)$ , and thus  $n = 1$ . Since  $M$  has finite length, this implies that  $M$  can be embedded in a finite direct sum of simple modules, and hence  $M$  is a semisimple module.

Suppose that  $M$  contains two non-isomorphic simple modules. Let  $S$  and  $T$  be the corresponding homogeneous components of  $M$ . Then  $\text{Hom}_R(M, T) \neq 0$ , and so

Proposition 2.8 implies that  $T$  cogenerates  $S$ , since  $M$  is  $M$ -prime. This contradicts the assumption that  $S$  and  $T$  are generated by nonisomorphic simple modules, and so it follows that  $M$  is homogeneous.  $\square$

### Example 4.1

This example exhibits a module  $M$  of finite length such that  $M$  is  $M$ -prime but not semisimple. By construction,  $M$  is a finitely generated, projective module. In addition, the ring  $R$  can be embedded in  $M \oplus M$ , and so  $\sigma[M] = R\text{-Mod}$ .

Let  $F$  be a field, and let  $R = \begin{bmatrix} F & 0 \\ F & F \end{bmatrix}$  be the ring of lower triangular  $2 \times 2$  matrices over  $F$ . Let  $M$  be the left ideal  $\begin{bmatrix} F & 0 \\ F & 0 \end{bmatrix}$ , and let  $N$  be the subset  $\begin{bmatrix} 0 & 0 \\ F & 0 \end{bmatrix}$ . The lattice of submodules of  $M$  is just  $(0) \subset N \subset M$ , so  $M$  is not semisimple. It is easy to check that the simple modules  $M/N$  and  $N$  are not isomorphic. Furthermore,  $\text{Hom}_R(M/N, N) = 0$ , which implies that  $N$  is not an  $M$ -generated submodule. Thus  $M$  has no proper nontrivial  $M$ -generated submodules, and so it must be  $M$ -prime. We note, in addition, that  $\text{Hom}_R(N, M/N) = 0$  implies that  $N$  is an  $M$ -ideal.

## 5 Projective modules

In this section we investigate the simplifications obtained in case  $M$  is projective in the subcategory  $\sigma[M]$ . For certain results, the weaker assumption that  $M$  is quasi-projective is all that is necessary.

**Proposition 5.1** *If  $M$  is quasi-projective, then a submodule  $N$  of  $M$  is an  $M$ -ideal if and only if it is a fully invariant submodule of  $M$ .*

*Proof.* Suppose that  $M$  is quasi-projective, and  $N$  is a fully invariant submodule of  $M$ . If  $f \in \text{Hom}_R(M, M/N)$ , and  $\pi : M \rightarrow M/N$  is the canonical projection, then  $f$  lifts to  $\widehat{f} \in \text{End}_R(M)$ , with  $f = \pi\widehat{f}$ , since  $M$  is quasi-projective. Then

$\widehat{f}(N) \subseteq N$  since  $N$  is fully invariant, and so  $f(N) = \pi\widehat{f}(N) = (0)$ , showing that  $N$  is an  $M$ -ideal.  $\square$

**Example 5.1**

Let  $M = \mathbf{Z}_p^\infty$ , in the category of  $\mathbf{Z}$ -modules. Every proper factor module of  $M$  is isomorphic to  $M$  itself, and so no proper nontrivial submodule can be an  $M$ -ideal. On the other hand, every submodule of  $M$  is fully invariant in  $M$ . Thus a fully invariant submodule of  $M$  need not be an  $M$ -ideal.

**Example 5.2**

If  $M = R/I$ , where  $I$  is an ideal of  $R$ , then  $\sigma[M]$  coincides with  $R/I$ -*Mod*. By Proposition 5.1, the  $M$ -ideals coincide with the ideals of  $R/I$ , since they are precisely the fully-invariant submodules of  $R/I$ .

**Proposition 5.2** *Assume that  $M$  is quasi-projective, and let  $K$  and  $N$  be submodules of  $M$ .*

(a) *Assume that  $N \subseteq K$  and  $N$  is an  $M$ -ideal. If  $K/N$  is an  $(M/N)$ -ideal (in  $M/N$ ), then  $K$  is an  $M$ -ideal.*

(b) *If  $N$  and  $K$  are  $M$ -ideals, then  $N + K$  is an  $M$ -ideal.*

*Proof.* These results clearly hold for fully invariant submodules. Since  $M$  is assumed to be quasi-projective and  $N$  is a fully invariant submodule, Proposition 18.2 (4) of [5] shows that  $M/N$  is also quasi-projective. It then follows from Proposition 5.1 that the desired results hold for  $M$ -ideals.  $\square$

The modules defined in Example 4.1 show that in the next proposition the condition that  $\text{Hom}_R(M, N) \neq 0$  for all fully invariant submodules  $N \subseteq M$  is a necessary condition. In fact, in Example 4.1 the module  $M$  is quasi-projective and  $M$ -prime, but it is not  $R$ -prime, since for the nonzero submodule  $N \subseteq M$  we have  $\text{Ann}_R(N) \neq \text{Ann}_R(M)$ . Note that the submodule  $N$  is fully invariant, with  $\text{Hom}_R(M, N) = 0$ .

**Proposition 5.3** *Let  $M$  be an  $M$ -prime module. If  $M$  is quasi-projective and  $\text{Hom}_R(M, N) \neq 0$  for every nonzero fully invariant submodule  $N \subseteq M$ , then  $M$  is an  $R$ -prime module.*

*Proof.* Let  $I$  be an ideal of  $R$ . If  $N = \{m \in M \mid Im = 0\}$  is nonzero, then by assumption  $\text{Hom}_R(M, N) \neq 0$ , since  $N$  is a fully invariant submodule. It follows from Proposition 2.8 that  $N$  must cogenerate  $M$ , so we may conclude that  $N = M$ , showing that  $M$  is an  $R$ -prime module.  $\square$

The converse of Proposition 5.3 does not hold. The next example exhibits a module  $M$  that is not  $M$ -prime, although it is  $R$ -prime and quasi-projective, and also satisfies the condition that  $\text{Hom}_R(M, N) \neq 0$  for all submodules  $N \subseteq M$ .

**Example 5.3**

Let  $R$  be a simple Noetherian ring that has two nonisomorphic simple modules  $S_1$  and  $S_2$ . In this case let  $M = S_1 \oplus S_2$ . Then  $M$  is an  $R$ -prime module, since every nonzero  $R$ -module has zero annihilator. On the other hand, it follows from Proposition 2.8 that  $M$  is not an  $M$ -prime module. It is clear that  $\text{Hom}_R(M, N) \neq 0$  for the two proper nonzero submodules of  $M$ .

The next results appear to require the stronger hypothesis that  $M$  is projective as an object of the category  $\sigma[M]$ .

**Lemma 5.4** *Assume that  $M$  is projective in  $\sigma[M]$ , and let  $N$  be any submodule of  $M$ . If  $p : X \rightarrow Y$  is an epimorphism, for any module  ${}_R X$  in  $\sigma[M]$ , then  $p$  maps  $\sum_{f \in \text{Hom}(M, X)} f(N)$  onto  $\sum_{f \in \text{Hom}(M, Y)} f(N)$ .*

*Proof.* Let  $y \in Y$ , with  $y = \sum_{i=1}^n f_i(m_i)$ , where  $f_i \in \text{Hom}_R(M, Y)$  and  $m_i \in N \subseteq M$  for  $i = 1, \dots, n$ . Since  $M$  is projective in  $\sigma[M]$ , each homomorphism  $f_i$  can be lifted to  $\widehat{f}_i : M \rightarrow X$  with  $p\widehat{f}_i = f_i$ . Thus  $y = \sum_{i=1}^n p\widehat{f}_i(m_i) = p\left(\sum_{i=1}^n \widehat{f}_i(m_i)\right)$ , showing that  $p$  maps  $\sum_{f \in \text{Hom}(M, X)} f(N)$  onto  $\sum_{f \in \text{Hom}(M, Y)} f(N)$ .  $\square$

**Proposition 5.5** *Assume that  $M$  is projective in  $\sigma[M]$ , and let  $N$  be any submodule of  $M$ . The following conditions hold for any module  ${}_R X$  in  $\sigma[M]$ , and any submodule  $Y \subseteq X$ .*

- (a)  $N \cdot X = \sum_{f \in \text{Hom}(M, X)} f(N)$
- (b)  $N \cdot (X/Y) = (0)$  if and only if  $N \cdot X \subseteq Y$
- (c) If  $N = \text{Ann}_M(X/Y)$ , then  $\text{Ann}_M(X/(N \cdot X)) = N$ .

*Proof.* (a) It is always true that  $\sum_{f \in \text{Hom}(M, X)} f(N) \subseteq N \cdot X$ . To show the reverse inclusion, let  $Y = \sum_{f \in \text{Hom}(M, X)} f(N)$ . By Lemma 5.4,  $f(N) = (0)$  for all homomorphisms  $f \in \text{Hom}_R(M, X/Y)$ , and so by definition  $N \cdot X \subseteq Y$ .

(b) By definition, if  $N \cdot (X/Y) = (0)$ , then  $N \cdot X \subseteq Y$ . On the other hand, if  $N \cdot X \subseteq Y$ , then  $\sum_{f \in \text{Hom}(M, X)} f(N) \subseteq Y$ , by part (a). It follows from Lemma 5.4 that  $\sum_{f \in \text{Hom}(M, X/Y)} f(N) = 0$ , and so  $N \cdot (X/Y) = (0)$ .

(c) Now suppose that  $N = \text{Ann}_M(X/Y)$ , and let  $\text{Ann}_M(X/(N \cdot X)) = A$ . Since  $M$  is projective in  $\sigma[M]$ , it follows from part (b) that  $N \cdot (X/(N \cdot X)) = (0)$ , and so  $N \subseteq A$ . On the other hand, since  $A \cdot (X/(N \cdot X)) = (0)$ , it follows that  $A \cdot X \subseteq N \cdot X \subseteq Y$ . Then since  $M$  is projective in  $\sigma[M]$ , it follows from part (b) that  $A \cdot (X/Y) = (0)$ , and so  $A \subseteq \text{Ann}_M(X/Y) = N$ .  $\square$

As an immediate consequence of Proposition 5.5, we note that if  $M$  is projective in  $\sigma[M]$  and  $N \cdot X = (0)$ , then  $N \cdot f(X) = (0)$  for any homomorphic image  $f(X)$  of  $X$ . If  $N$  is an  $M$ -ideal, and  $X$  is a direct sum of copies of  $M/N$ , then  $N \cdot X = (0)$ . It follows immediately that  $N \cdot X = (0)$  for any module  $X$  in  $\sigma[M/N]$ .

Under the assumption that  $M$  is projective in  $\sigma[M]$ , it follows from Proposition 5.5 that if  $K$  is any submodule of  $M$ , then  $\text{Ann}_M(M/K)$  is the largest  $M$ -ideal contained in  $K$ . This provides the first indication that in this situation prime  $M$ -ideals behave more like ordinary ideals. We first show that the multiplication we have introduced is associative. (The argument used is similar to that in Lemma 2.1 of [10].) We then show that an  $M$ -ideal  $P$  is prime if and only if  $M/P$  is an  $M$ -prime module.

**Proposition 5.6** *Assume that  $M$  is projective in  $\sigma[M]$ , and let  $K$  and  $N$  be submodules of  $M$ . Then  $(K \cdot N) \cdot X = K \cdot (N \cdot X)$  for any module  ${}_R X$  in  $\sigma[M]$ .*

*Proof.* We use the description of the product given in part (a) of Proposition 5.5.

To show that  $(K \cdot N) \cdot X \subseteq K \cdot (N \cdot X)$ , let  $x \in (K \cdot N) \cdot X$ . Then for some positive integer  $n$  we have  $x = \sum_{i=1}^n f_i(m_i)$ , for homomorphisms  $f_i \in \text{Hom}_R(M, X)$  and elements  $m_i \in K \cdot N$ . But each element  $m_i$  has the form  $m_i = \sum_{j=1}^{k(j)} g_{ij}(x_{ij})$ , where  $g_{ij} \in \text{Hom}_R(M, N)$  and  $x_{ij} \in K$ . Thus  $x = \sum_{i=1}^n \sum_{j=1}^{k(j)} f_i g_{ij}(x_{ij})$ , with  $x_{ij} \in M$ . Furthermore, since  $x_{ij} \in K$  and  $g_{ij}(M) \subseteq N$ , for each homomorphism  $g_{ij}$ , we actually have  $f_i g_{ij} \in \text{Hom}_R(M, N \cdot X)$ . This implies that  $x \in K \cdot (N \cdot X)$ .

To show that  $(K \cdot N) \cdot X \supseteq K \cdot (N \cdot X)$ , let  $x \in K \cdot (N \cdot X)$ . Then for some positive integer  $n$  we have  $x = \sum_{i=1}^n f_i(m_i)$ , for homomorphisms  $f_i \in \text{Hom}_R(M, N \cdot X)$  and elements  $m_i \in K$ .

We first consider the case of a single element  $m \in K$  and a single homomorphism  $f \in \text{Hom}_R(M, N \cdot X)$ . Let  $M^{(I)}$  denote the direct sum of  $I$  copies of  $M$ , taken over the index set  $I = \text{Hom}_R(M, X)$ , and let  $h : M^{(I)} \rightarrow X$  be the homomorphism whose component indexed by  $f \in I$  is  $f$  itself. If  $g$  is the restriction of  $h$  to  $N^{(I)}$ , then by Proposition 5.5 (a) the image of  $g$  is  $N \cdot X$ . Since  $M$  is projective in  $\sigma[M]$ , it is possible to lift  $f$  to  $\widehat{f} : M \rightarrow N^{(I)}$ , with  $g\widehat{f} = f$ . We have  $\widehat{f}(m) = \sum_{j=1}^n \lambda_j \pi_j(\widehat{f}(m))$ , for some finite subset of projections  $\pi_j : M^{(I)} \rightarrow M$  and inclusions  $\lambda_j : M \rightarrow M^{(I)}$ . We note that  $\pi_j \widehat{f} : M \rightarrow M$ , for each index  $j$ , with  $\pi_j \widehat{f}(M) \subseteq N$ . Since  $m \in K$ , we can consider  $\pi_j \widehat{f}(m)$  to be an element of  $K \cdot N$ . Then  $h\lambda_j : M \rightarrow X$ , and so  $h\lambda_j \pi_j \widehat{f}(m) \in h\lambda_j(K \cdot N)$ , which implies that  $h\lambda_j \pi_j \widehat{f}(m) \in (K \cdot N) \cdot X$ . Finally,  $f(m) = h\widehat{f}(m) = \sum_{j=1}^n h\lambda_j \pi_j \widehat{f}(m)$ , and therefore  $f(m) \in (K \cdot N) \cdot X$ .

Returning to the element  $x \in K \cdot (N \cdot X)$ , with  $x = \sum_{i=1}^n f_i(m_i)$ , for homomorphisms  $f_i : M \rightarrow N \cdot X$ , the preceding paragraph shows that  $x \in (K \cdot N) \cdot X$ , completing the proof.  $\square$

**Theorem 5.7** *Let  $P \subseteq M$  be an  $M$ -ideal, and assume that  $M$  is projective in  $\sigma[M]$ . The following conditions are equivalent.*

- (1)  $P$  is a prime  $M$ -ideal;
- (2)  $N \cdot K \subseteq P$  implies  $N \subseteq P$  or  $K \subseteq P$ , for all  $M$ -ideals  $N$  and  $K$  such that  $K$  is  $M$ -generated;
- (3)  $M/P$  is an  $M$ -prime module.



*Proof.* (1)  $\Rightarrow$  (2): Suppose that  $P$  is a prime  $M$ -ideal, and that  $N \cdot K \subseteq P$ , for  $M$ -ideals  $N$  and  $K$  such that  $K \not\subseteq P$  and  $K$  is  $M$ -generated. By assumption there is an  $M$ -prime module  $X$  with  $P = \text{Ann}_M(X)$ , and so there exists  $f \in \text{Hom}_R(M/P, X)$  with  $f((K+P)/P) \neq (0)$ . Since  $N \cdot K \subseteq P$ , we have  $N \cdot K \subseteq P \cap K$ , and so Proposition 5.5 (b) implies that  $N \cdot f((K+P)/P) = (0)$ . Then  $f((K+P)/P)$  is an  $M$ -generated submodule of  $X$ , since  $(K+P)/P \cong K/(P \cap K)$  and  $K$  is  $M$ -generated. It follows that  $N \cdot X = (0)$ , since  $X$  is  $M$ -prime, and thus  $N \subseteq P$  since  $P = \text{Ann}_M(X)$ .

(2)  $\Rightarrow$  (3): Let  $P \subseteq K \subseteq M$ , and assume that  $K/P$  is a nonzero  $M$ -generated submodule of  $M/P$  such that  $N \cdot (K/P) = (0)$  for an  $M$ -ideal  $N$ . Since  $K/P$  is  $M$ -generated and  $M$  is projective in  $\sigma[M]$ , there exists an  $M$ -generated submodule  $L$  of  $M$  such that  $(L+P)/P = K/P$ . (The argument is similar to the one used in the proof of Lemma 5.4.) Since  $K/P$  is nonzero, we have  $L \not\subseteq P$ . Since  $N \cdot (K/P) = (0)$ , we have  $N \cdot ((L+P)/P) = (0)$ , which implies that  $N \cdot L \subseteq N \cdot (L+P) \subseteq P$ .

Now consider the submodule  $L \cdot M$ , which is the smallest  $M$ -ideal that contains  $L$ . Since  $M$  is projective in  $\sigma[M]$ , and  $L$  is  $M$ -generated, it follows from Proposition 5.5 (a) that  $L \cdot M$  is  $M$ -generated. Furthermore, Proposition 5.6 implies that  $N \cdot (L \cdot M) = (N \cdot L) \cdot M$ . Since  $N \cdot L \subseteq P$ , and  $P \cdot M = M$  since  $P$  is an  $M$ -ideal, we have

$$N \cdot (L \cdot M) = (N \cdot L) \cdot M \subseteq P \cdot M = P.$$

Thus  $L \cdot M$  is an  $M$ -generated  $M$ -ideal with  $N \cdot (L \cdot M) \subseteq P$ , but  $L \cdot M \not\subseteq P$ . The hypothesis implies that  $N \subseteq P$ , and therefore  $N \cdot (M/P) = (0)$ . It follows from condition (4) of Proposition 2.2 that  $M/P$  is an  $M$ -prime module.

(3)  $\Rightarrow$  (1): If  $M/P$  is an  $M$ -prime module, then  $P$  is a prime  $M$ -ideal since  $P = \text{Ann}_M(M/P)$ .  $\square$

**Proposition 5.8** *Let  $N$  be a submodule of  $M$ , and let  $I$  be a left ideal of  $R$ .*

(a) *The left ideal  $N \cdot R$  is a two-sided ideal of  $R$ . If  $N$  is an  $M$ -ideal, then  $(N \cdot R)M \subseteq N$ .*

(b) *The submodule  $IM$  is an  $M$ -ideal. If  $I$  is a two-sided ideal of  $R$ , then  $(IM) \cdot R \subseteq I$ .*

(c) *If  $M$  is projective in  $\sigma[M]$ , then  $(IN) \cdot X = I(N \cdot X)$  for any  $X$  in  $\sigma[M]$ .*

*Proof.* (a) Since  $N \cdot (-)$  defines a radical, it follows that  $N \cdot R$  is a two-sided ideal of  $R$ . If  $N$  is an  $M$ -ideal, then it follows from Lemma 1.8 that  $(N \cdot R)M \subseteq N \cdot M = N$ .

(b) We have already noted that  $IM$  is an  $M$ -ideal. If  $I$  is a two-sided ideal of  $R$ , let  $f \in \text{Hom}_R(M, (R/I))$ . Then for any element  $m = \sum_{i=1}^n a_i m_i \in IM$ , with  $a_i \in I$ , we have  $f(m) = \sum_{i=1}^n a_i f(m_i) = 0$ . Thus  $IM \cdot (R/I) = (0)$ , and hence  $(IM) \cdot R \subseteq I$ .

(c) Assume that  $M$  is projective in  $\sigma[M]$ . By Proposition 5.5 (a), for any module  ${}_R X$  in  $\sigma[M]$  we have

$$(IN) \cdot X = \sum_{f \in \text{Hom}(M, X)} f(IN) = I \left( \sum_{f \in \text{Hom}(M, X)} f(N) \right) = I(N \cdot X) .$$

This completes the proof.  $\square$

## 6 Noetherian modules

Before investigating the correspondence between indecomposable  $M$ -injective modules and prime  $M$ -ideals, we show that several familiar results for Noetherian rings can be extended to modules.

**Proposition 6.1** *Let  $M$  be a Noetherian module, and assume that the module  ${}_R X$  is a homomorphic image of a finite direct sum of copies of  $M$ . Then there exists a chain of submodules*

$$(0) = X_0 \subset X_1 \subset \cdots \subset X_{n-1} \subset X_n = X$$

*such that for  $1 \leq i \leq n$ , each factor module  $X_i/X_{i-1}$  is an  $M$ -prime module.*

*Proof.* Since  $X$  is  $M$ -generated, we certainly have  $\text{Hom}_R(M, X) \neq 0$ , and so it follows from Proposition 3.4 that  $X$  has an  $M$ -prime submodule  $X_1$ . The hypotheses of Proposition 3.4 are also satisfied by  $X/X_1$ , and so it contains an  $M$ -prime submodule which we denote by  $X_2/X_1$ . We can continue this process inductively. Since  $X$  is a homomorphic image of a finite direct sum of copies of  $M$ , it is Noetherian, and so the ascending chain  $X_1 \subset X_2 \subset \cdots$  must terminate at  $X$  after finitely many steps.  $\square$

**Definition 6.2** *The prime radical of the module  $M$ , denoted by  $P(M)$ , is defined to be the intersection of all prime  $M$ -ideals.*

We note that there is another notion of the prime radical of a module (see [13], for example), where the prime radical of the module  $M$  is defined to be the intersection of all  $R$ -prime submodules of  $M$ . The two notions differ, as can be seen in Example 4.1.

Before proceeding, we make the following useful observation. Since each prime  $M$ -ideal is the annihilator in  $M$  of an  $M$ -prime module, it follows that  $P(M) = \text{rad}_{\mathcal{C}}(M)$ , where  $\mathcal{C}$  is the class of all  $M$ -prime left  $R$ -modules. If  ${}_R X$  is any module with a submodule  $Y$  such that  $X/Y$  is  $M$ -prime, then  $\text{rad}_{\mathcal{C}}(X) \subseteq Y$ . In this case it follows from Lemma 1.8 that  $P(M) \cdot X \subseteq Y$ .

**Proposition 6.3** *If  $M$  is a Noetherian module, then the prime radical  $P(M)$  is a nilpotent  $M$ -ideal.*

*Proof.* Using Proposition 6.1, it is possible to construct a sequence of submodules

$$(0) = M_n \subset M_{n-1} \subset \cdots \subset M_1 \subset M_0 = M$$

such that each factor is an  $M$ -prime module. Then  $P(M) \subseteq M_1$  since  $M/M_1$  is an  $M$ -prime module, and in general

$$P(M)^{i+1} = P(M) \cdot P(M)^i \subseteq P(M) \cdot M_i \subseteq M_{i+1}$$

for  $1 \leq i \leq n - 1$ . Using this inductive definition of the powers of  $P(M)$  (see Definition 4.3) we have  $P(M)^n = (0)$ .  $\square$

**Definition 6.4** *The module  ${}_R X$  in  $\sigma[M]$  is said to be finitely  $M$ -generated if there exists an epimorphism  $f : M^n \rightarrow X$ , for some positive integer  $n$ . It is said to be finitely  $M$ -annihilated if there exists a monomorphism  $g : M/\text{Ann}_M(X) \rightarrow X^m$ , for some positive integer  $m$ .*

**Definition 6.5** *The module  ${}_R M$  is said to satisfy condition  $H$  if every finitely  $M$ -generated module is finitely  $M$ -annihilated.*

Note that if  $M = R$  and  $R$  is a fully bounded Noetherian ring, then  $M$  satisfies condition H. The same is true if  $M$  is an Artinian module, since then  $M/K$  has the finite intersection property.

In the following results on Gabriel's correspondence, in addition to condition H we assume that  $\text{Hom}_R(M, X) \neq 0$  for all modules  ${}_R X$  in  $\sigma[M]$ . The next example shows that this assumption is weaker than the assumption that  $M$  is a generator in  $\sigma[M]$ .

**Example 6.1**

Let  $F$  be a field, let  $R$  be the ring of lower triangular  $3 \times 3$  matrices over  $F$ , and let  $J$  denote the Jacobson radical of  $R$ . We use the following notation.

$$e_1 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad e_2 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad e_3 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Let  $M$  be the module  $M = Re_1 \oplus (Re_2/J e_2) \oplus Re_3$ . Since  $Re_2$  is isomorphic to a submodule of  $Re_1$ , it follows that  $R$  can be embedded in  $M^2$ , so  $M$  is cofaithful, and therefore  $\sigma[M] = R\text{-Mod}$ . Each simple left  $R$ -module is a homomorphic image of  $M$ , and hence  $\text{Hom}_R(M, X) \neq 0$  for each left  $R$ -module  $X$ . On the other hand,  $M$  is not a generator for  $R\text{-Mod}$ , since  $Re_2$  is not  $M$ -generated. This follows from the fact that  $\text{Hom}_R(Re_1, Re_2) = 0$ , while  $(Re_2/J e_2) \oplus Re_3$  is a semisimple module and therefore cannot generate  $Re_2$ .

**Lemma 6.6** *Assume that  $M$  is a Noetherian module such that  $\text{Hom}_R(M, X) \neq 0$  for all nonzero modules  $X$  in  $\sigma[M]$ . Then each nonzero uniform module in  $\sigma[M]$  has a unique prime  $M$ -ideal associated to it.*

*Proof.* Let  ${}_R X$  be a nonzero uniform module in  $\sigma[M]$ . Then  $X$  contains an  $M$ -prime submodule since by assumption  $\text{Hom}_R(M, X) \neq 0$ . Suppose that  $P$  and  $Q$  are prime  $M$ -ideals associated to  $X$ . Then  $P = \text{Ann}_M(Y)$  and  $Q = \text{Ann}_M(W)$ , where

$Y$  and  $W$  are  $M$ -prime submodules of  $X$ . Since  $X$  is uniform, we have  $Y \cap W \neq (0)$ , and so by assumption  $\text{Hom}_R(M, Y \cap W) \neq 0$ . Therefore

$$P = \text{Ann}_M(Y) = \text{Ann}_M(Y \cap W) = \text{Ann}_M(W) = Q ,$$

which completes the proof.  $\square$

**Theorem 6.7** *Let  $M$  be a Noetherian module. If  $M$  satisfies condition H and  $\text{Hom}_R(M, X) \neq 0$  for all modules  $X$  in  $\sigma[M]$ , then there is a one-to-one correspondence between isomorphism classes of indecomposable  $M$ -injective modules in  $\sigma[M]$  and prime  $M$ -ideals.*

*Proof.* Let  $U$  be an indecomposable  $M$ -injective module in  $\sigma[M]$ . Then  $U$  is uniform, so Lemma 6.6 implies that  $U$  has a unique  $M$ -prime ideal  $P$  associated to it. Thus the function that assigns to  $U$  its associated prime  $M$ -ideal is well-defined.

By Corollary 2.3 we can assume without loss of generality that  $P = \text{Ann}_M(U_1)$ , where  $U_1$  is an  $M$ -prime submodule that is a homomorphic image of  $M$ . Since  $M$  satisfies condition H and  $U_1$  is finitely  $M$ -generated, it follows that  $U_1$  is finitely  $M$ -annihilated. Thus there exists an embedding

$$0 \rightarrow M/P \rightarrow U_1^n \rightarrow U^n$$

of  $M/P$  into a finite direct sum of copies of  $U$ . Considering this embedding in  $R$ -Mod gives an embedding

$$0 \rightarrow E(M/P) \rightarrow E(U)^n$$

of the respective injective envelopes. Since  $U$  is uniform, the injective module  $E(U)$  is indecomposable, and it follows from the Krull-Schmidt-Azumaya theorem that  $E(M/P)$  is isomorphic to a direct sum of copies of  $E(U)$ . If  $X$  is any indecomposable  $M$ -injective module in  $\sigma[M]$  with  $P$  as its associated prime  $M$ -ideal, we obtain a similar sequence

$$0 \rightarrow E(M/P) \rightarrow E(X)^m$$

for some integer  $m$ . Since  $X$  is uniform, the Krull-Schmidt-Azumaya theorem implies that  $E(M/P)$  is isomorphic to a direct sum of copies of  $E(X)$ , and hence  $E(X) \cong E(U)$ . It follows that

$$X = \text{tr}^M(E(X)) \cong \text{tr}^M(E(U)) = U ,$$

and so the correspondence between indecomposable  $M$ -injective modules and prime  $M$ -ideals is a one-to-one correspondence.  $\square$

The next proposition shows that under the assumptions of Theorem 6.7 the prime  $M$ -ideals can be described more fully. In the proposition the second hypothesis on  $M$  is necessary. This is shown by the module  $M$  defined in Example 4.1, which satisfies condition H since it is Artinian, but although  $(0)$  is a prime  $M$ -ideal,  $M$  itself is not semi-compressible. Note that in the example we have  $\text{Hom}_R(M, N) = (0)$ .

**Proposition 6.8** *Let  $M$  be a Noetherian module such that  $M$  satisfies condition H and  $\text{Hom}_R(M, X) \neq 0$  for all modules  $X$  in  $\sigma[M]$ . If  $P$  is a prime  $M$ -ideal, then  $M/P$  is a semi-compressible module.*

*Proof.* Assume that  $P$  is a prime  $M$ -ideal, with  $P = \text{Ann}_M(X)$  for an  $M$ -prime module  ${}_R X$  in  $\sigma[M]$ . By hypothesis, there is a nonzero element  $h \in \text{Hom}_R(M, X)$ , and  $h(M)$  is  $M$ -prime by Corollary 2.3. Since  $\text{Ann}_M(h(M)) = P$ , we can assume without loss of generality that  $X$  is finitely  $M$ -generated. Then  $X$  is finitely  $M$ -annihilated since  $M$  satisfies condition H, so there is an embedding  $f : M/P \rightarrow X^n$ , for some positive integer  $n$ .

Now let  $L$  be any nonzero submodule of  $M/P$ . Since  $M$  is Noetherian,  $L$  contains a uniform submodule  $K$ , and since by assumption  $\text{Hom}_R(M, K) \neq 0$ , we can assume without loss of generality that  $K$  is a homomorphic image of  $M$ . We must have  $\bigcap_{i=1}^n \ker(f_i) = (0)$  for the components  $\{f_i\}_{i=1}^n$  of the embedding  $f : M/P \rightarrow X^n$ , and thus  $\ker(f_j) = (0)$  for some  $j$ , since  $K$  is uniform. It follows that  $K$  is isomorphic to a submodule of  $X$ , and therefore  $\text{Ann}_M(K) = P$ , since  $X$  is an  $M$ -prime module and  $\text{Hom}_R(M, K) \neq 0$ .

Finally,  $K$  is finitely  $M$ -annihilated since it is finitely  $M$ -generated and  $M$  satisfies condition H, so there exists an embedding  $g : M/P \rightarrow K^m$  for some positive integer  $m$ . Thus  $M/P$  can be embedded in a finite direct sum of copies of  $L$ , which shows that  $M/P$  is semi-compressible.  $\square$

The next propositions generalize properties of fully bounded Noetherian rings to Noetherian quasi-projective modules that satisfy condition H.

**Lemma 6.9** *Let  $M$  be a Noetherian quasi-projective module that satisfies condition H. If  $P$  is a maximal fully invariant submodule of  $M$ , then  $M/P$  is a homogeneous semisimple module.*

*Proof.* Let  $P \subset M$  be a maximal fully invariant submodule. Since  $M$  is Noetherian, there exists a maximal submodule  $K$  of  $M$  with  $P \subseteq K \subset M$ . Let  $f : M \rightarrow M/K$  be any  $R$ -homomorphism, and let  $\pi_1 : M \rightarrow M/P$  and  $\pi_2 : M/P \rightarrow M/K$  be the natural projections. Since  $M$  is assumed to be quasi-projective,  $f$  can be lifted to  $\widehat{f} \in \text{End}_R(M)$  with  $\pi_2\pi_1\widehat{f} = f$ . Then  $\pi_1\widehat{f}(P) = (0)$  since  $P$  is an  $M$ -ideal, and therefore  $f(P) = \pi_2\pi_1\widehat{f}(P) = (0)$ , showing that  $P \subseteq \text{Ann}_M(M/K)$ . But then  $P = \text{Ann}_M(M/K)$ , because  $P$  is a maximal  $M$ -ideal. Since  $M/K$  is finitely  $M$ -generated, and  $M$  satisfies condition H, it follows that  $M/K$  is finitely  $M$ -annihilated. Therefore  $M/P$  can be embedded in a finite direct sum of copies of the simple module  $M/K$ , and so  $M/P$  is semisimple and homogeneous.  $\square$

**Proposition 6.10** *Let  $M$  be a Noetherian quasi-projective module that satisfies condition H. If every prime  $M$ -ideal is a maximal fully invariant submodule, then  $M$  has finite length.*

*Proof.* By Proposition 3.4,  $M$  contains an  $M$ -prime submodule  $M_1$ . By Corollary 2.3, we can assume without loss of generality that  $M_1$  is a homomorphic image of  $M$ , and hence a homomorphic image of  $M/P$ , for  $P = \text{Ann}_M(M_1)$ . It follows from Lemma 6.9 that  $M/P$  is a semisimple module, and so  $M_1$  has finite length since it is Noetherian and semisimple.

As in the proof of Proposition 6.1, it is possible to construct a sequence of submodules

$$(0) = M_0 \subset M_1 \subset \cdots \subset M_{n-1} \subset M_n = M$$

such that each factor is an  $M$ -prime module with finite length, and therefore  $M$  itself has finite length.  $\square$

**Proposition 6.11** *Let  $M$  be any quasi-projective module that satisfies condition H. If  $N \subseteq M$  is a fully invariant submodule, then  $M/N$  satisfies condition H.*

*Proof.* Let  ${}_R X$  be finitely  $M/N$ -generated, with an epimorphism  $p : (M/N)^k \rightarrow X$ . Then  $X$  is finitely  $M$ -generated, so it is finitely  $M$ -annihilated since  $M$  satisfies condition H. It suffices to show that  $N \subseteq \text{Ann}_M(X)$ , since then  $(M/N)/\text{Ann}_{M/N}(X)$  is isomorphic to  $M/\text{Ann}_M(X)$ , and this yields an embedding of  $(M/N)/\text{Ann}_{M/N}(X)$  into  $X^n$  for some positive integer  $n$ .

Since  $M$  is assumed to be quasi-projective, Proposition 18.2 (2) of [5] implies that it is  $M^k$ -projective. Therefore any  $R$ -homomorphism  $f : M \rightarrow X$  lifts to  $\widehat{f} : M \rightarrow M^k$ , with  $p\pi\widehat{f} = f$ , where  $\pi : M^k \rightarrow (M/N)^k$  is the natural projection. It follows that  $\pi\widehat{f}(N) = (0)$  since  $N$  is an  $M$ -ideal, and thus  $N \subseteq \ker(f)$ , which implies that  $N \subseteq \text{Ann}_M(X)$ .  $\square$

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