

## 1. MATH 423

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## 2. LEMMA

Suppose  $V$  is a vector space with basis  $\{\mathbf{v}_1, \dots, \mathbf{v}_n\}$ .

Then any set of  $n + 1$  vectors is linearly dependent.

The proof uses induction on  $n$ .

## 3. DIMENSION

The Lemma easily proves the following theorem:

Theorem. Suppose  $V$  is a vector space with basis  $\{\mathbf{v}_1, \dots, \mathbf{v}_n\}$ .

Then every basis of  $V$  also consists of  $n$  vectors.

$n$  is called the **dimension** of  $V$ .

## 4. INDUCTION PROOFS

To prove a statement  $P(n)$  by induction requires two steps:

Step 1. Show that  $P(1)$  is true.

Step 2. Show that if  $P(n)$  is true, then  $P(n + 1)$  is also true.

Equivalently, we can show that  $P(n - 1) \implies P(n)$ .

### 5. CASE $n = 1$

Given a set of two vectors  $\{\underline{\mathbf{w}}_1, \underline{\mathbf{w}}_2\}$ .

Since  $\{\underline{\mathbf{v}}_1\}$  is a basis, we can write

$$\underline{\mathbf{w}}_1 = a\underline{\mathbf{v}}_1 \text{ and}$$

$$\underline{\mathbf{w}}_2 = b\underline{\mathbf{v}}_1$$

for appropriate scalar constants  $a$  and  $b$ .

If either  $a = 0$  or  $b = 0$ ,

then  $\underline{\mathbf{w}}_1$  or  $\underline{\mathbf{w}}_2$ , respectively, is  $\underline{\mathbf{0}}$ , the zero vector.

Clearly,  $\{\underline{\mathbf{w}}_1, \underline{\mathbf{w}}_2\}$  is linearly dependent in this case.

### 6. CASE $n = 1$ CONTINUED

Given a set of two vectors  $\{\underline{\mathbf{w}}_1, \underline{\mathbf{w}}_2\}$ .

$$\underline{\mathbf{w}}_1 = a\underline{\mathbf{v}}_1 \text{ and } \underline{\mathbf{w}}_2 = b\underline{\mathbf{v}}_1.$$

where both  $a$  and  $b$  are non-zero.

In this case,

$$b\underline{\mathbf{w}}_1 - a\underline{\mathbf{w}}_2 = ba\underline{\mathbf{v}}_1 - ab\underline{\mathbf{v}}_1 = \underline{\mathbf{0}}, \text{ the zero vector.}$$

Since  $a$  and  $b$  are not both 0, this equation proves that

$\{\underline{\mathbf{w}}_1, \underline{\mathbf{w}}_2\}$  is linearly dependent.

### 7. INDUCTION HYPOTHESIS

Suppose  $n > 1$  and the lemma is true for  $n - 1$ .

Given a basis  $\{\underline{\mathbf{v}}_1, \dots, \underline{\mathbf{v}}_n\}$  for vector space  $V$ .

Let  $W$  be the vector space spanned by  $\{\underline{\mathbf{v}}_1, \dots, \underline{\mathbf{v}}_{n-1}\}$ .

Then  $\{\underline{\mathbf{v}}_1, \dots, \underline{\mathbf{v}}_{n-1}\}$  is a basis for  $W$ .

Clearly  $\{\underline{\mathbf{v}}_1, \dots, \underline{\mathbf{v}}_{n-1}\}$  span  $W$ ?

Why is  $\{\underline{\mathbf{v}}_1, \dots, \underline{\mathbf{v}}_{n-1}\}$  linearly independent?

## 8. TRUNCATE VECTORS

Let  $\underline{\mathbf{w}}_1, \dots, \underline{\mathbf{w}}_{n+1}$  be  $n + 1$  vectors in  $V$ .

We must show that  $\underline{\mathbf{w}}_1, \dots, \underline{\mathbf{w}}_{n+1}$  is linearly dependent.

Since  $\{\underline{\mathbf{v}}_1, \dots, \underline{\mathbf{v}}_n\}$  is a basis for  $V$ ,

there are scalars  $a_{i,j}$ ,  $1 \leq i \leq n + 1$ ,  $1 \leq j \leq n$ , such that

$$\underline{\mathbf{w}}_i = a_{i,1}\underline{\mathbf{v}}_1 + \dots + a_{i,n}\underline{\mathbf{v}}_n \quad i = 1, 2, \dots, n + 1.$$

Set

$$\underline{\mathbf{z}}_i = a_{i,1}\underline{\mathbf{v}}_1 + \dots + a_{i,n-1}\underline{\mathbf{v}}_{n-1} \quad i = 1, \dots, n + 1.$$

Note that

$$\underline{\mathbf{w}}_i = \underline{\mathbf{z}}_i + a_{i,n}\underline{\mathbf{v}}_n$$

## 9. USE INDUCTION HYPOTHESIS

Since  $\underline{\mathbf{v}}_1, \dots, \underline{\mathbf{v}}_{n-1}$  is a basis for  $W$ , by the induction hypothesis,

both  $\underline{\mathbf{z}}_1, \dots, \underline{\mathbf{z}}_n$  and  $\underline{\mathbf{z}}_1, \dots, \underline{\mathbf{z}}_{n-1}, \underline{\mathbf{z}}_{n+1}$  are linearly dependent.

Therefore, without loss of generality, we may write

$$\underline{\mathbf{z}}_n = b_1\underline{\mathbf{z}}_1 + \dots + b_{n-1}\underline{\mathbf{z}}_{n-1}$$

and

$$\underline{\mathbf{z}}_{n+1} = c_1\underline{\mathbf{z}}_1 + \dots + c_{n-1}\underline{\mathbf{z}}_{n-1}$$

for appropriate scalars  $b_i$  and  $c_i$ .

Question: Why do we say “without loss of generality”?

## 10. SET UP TWO EQUATIONS

We have

$$\begin{aligned}\mathbf{0} &= b_1 \underline{\mathbf{z}}_1 + \cdots + b_{n-1} \underline{\mathbf{z}}_{n-1} - \underline{\mathbf{z}}_n \\ &= b_1 (\underline{\mathbf{w}}_1 - a_{(1,n)} \underline{\mathbf{v}}_n) + \cdots + b_{n-1} (\underline{\mathbf{w}}_{n-1} - a_{(n-1,n)} \underline{\mathbf{v}}_n) - (\underline{\mathbf{w}}_n - a_{(n,n)} \underline{\mathbf{v}}_n) \\ &= b_1 \underline{\mathbf{w}}_1 + \cdots + b_{n-1} \underline{\mathbf{w}}_{n-1} - \underline{\mathbf{w}}_n - b \underline{\mathbf{v}}_n\end{aligned}$$

for some constant  $b$ .

$$\text{So } b_1 \underline{\mathbf{w}}_1 + \cdots + b_{n-1} \underline{\mathbf{w}}_{n-1} - \underline{\mathbf{w}}_n = b \underline{\mathbf{v}}_n$$

Similarly,

$$c_1 \underline{\mathbf{w}}_1 + \cdots + c_{n-1} \underline{\mathbf{w}}_{n-1} - \underline{\mathbf{w}}_{n+1} = c \underline{\mathbf{v}}_n$$

for some choice  $c$ .

## 11. FINISH THE PROOF

We have

$$b_1 \underline{\mathbf{w}}_1 + \cdots + b_{n-1} \underline{\mathbf{w}}_{n-1} - \underline{\mathbf{w}}_n = b \underline{\mathbf{v}}_n$$

and

$$c_1 \underline{\mathbf{w}}_1 + \cdots + c_{n-1} \underline{\mathbf{w}}_{n-1} - \underline{\mathbf{w}}_{n+1} = c \underline{\mathbf{v}}_n$$

If  $b = 0$ , we are done, since now  $\underline{\mathbf{w}}_1, \dots, \underline{\mathbf{w}}_n$  are linearly dependent.

If  $b \neq 0$ , multiply the first equation by  $c$  and multiply the second equation by  $b$  to get

$$c(b_1 \underline{\mathbf{w}}_1 + \cdots + b_{n-1} \underline{\mathbf{w}}_{n-1} - \underline{\mathbf{w}}_n) = b(c_1 \underline{\mathbf{w}}_1 + \cdots + c_{n-1} \underline{\mathbf{w}}_{n-1} - \underline{\mathbf{w}}_{n+1}),$$

which shows that  $\underline{\mathbf{w}}_1, \dots, \underline{\mathbf{w}}_{n+1}$  are linearly dependent.